



PTO/SB/08A (04-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 8

Complete if Known

Application Number	10/758,875
Filing Date	1/16/2004
First Named Inventor	Weiner, Michael L.
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	MLW-630

Examiner Initials*	Cite No. ¹	U. S. PATENT DOCUMENTS			
		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AB		US- 6,180,385	01/30/2001	Skern et al.	
		US- 6,180,389	01/30/2001	Douglas et al.	
		US- 6,180,402	1/30/2001	Granville et al.	
		US- 6,180,612	01/30/2001	Hockensmith et al.	
		US- 6,180,782	01/30/2001	Capuder	
		US- 6,183,984	02/06/2001	Fuchs	
		US- 6,183,993	02/06/2001	Boyce et al.	
		US- 6,183,174	02/06/2001	Kaiser et al.	
		US- 6,183,753	02/06/2001	Cochran et al.	
		US- 6,184,000	02/06/2001	Jones et al.	
		US- 6,184,017	02/06/2001	Smith et al.	
		US- 6,184,027	02/06/2001	Laine et al.	
		US- 6,184,034	02/06/2001	Eastman et al.	
		US- 6,187,250	02/13/2001	Champagne	
		US- 6,187,552	02/13/2001	Roberds et al.	
		US- 6,187,559	02/13/2001	Steed et al.	
		US- 6,187,564	02/13/2001	Sytkowski	
		US- 6,187,566	02/13/2001	Dattagupta et al.	
		US- 6,187,567	02/13/2001	Li et al.	

Examiner Initials*	Cite No. ¹	FOREIGN PATENT DOCUMENTS			
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		Country Code ² Number ³ Kind Code ⁴ (if known)			T ⁵

Examiner Signature	/Angela Bertagna/	Date Considered	05/23/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 8

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U. S. PATENT DOCUMENTS

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AB		US- 6,187,567	02/13/2001	Li et al.	
↓		US- 6,187,575	02/13/2001	Sobek et al.	
		US- 6,187,578	02/13/2001	Blinkovsky et al.	
		US- 6,187,585	02/13/2001	Bennett et al.	
		US- 6,187,760	02/13/2001	Ashley et al.	
		US- 6,187,823	02/13/2001	Haddon et al.	
		US- 6,203,814	03/20/2001	Fisher et al.	
		US- 6,331,262	12/18/2001	Haddon et al.	
		US- 6,399,365	06/04/2002	Besemer et al.	
		US- 6,413,722	07/02/2002	Arnold et al.	
		US- 6,471,968	10/29/2002	Baker Jr. et al.	
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		US- 6,618,941	09/16/2003	Campbell et al.	
		US- 6,013,789	01/11/2000	Rampal	
		US- 6,017,731	01/25/2000	Tekamp-Olson et al.	
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		US- 5,872,826	02/16/1999	Fujieda et al.	
		US- 6,043,336	03/28/2000	Müller et al.	
	AB		US- 6,051,379	04/18/2000	Lescallett et al.

FOREIGN PATENT DOCUMENTS

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AB		US- 6,054,708	04/25/2000	Shimizu	
		US- 6,083,698	07/04/2000	Olson et al.	
		US- 6,083,708	07/04/2000	Singh et al.	
		US- 6,083,723	07/04/2000	Tekamp-Olson	
		US- 6,136,318	10/24/2000	Cochran et al.	
		US- 5,778,672	07/07/1998	Hashimoto et al.	
		US- 5,972,604	10/26/1999	Santamaria et al.	
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		US- 5,712,383	01/27/1998	Sheridan et al.	
		US- 5,699,394	12/16/1997	Schreiber et al.	
		US- 5,658,273	08/19/1997	Long	
		US- 5,641,539	06/24/1997	Afeyan et al.	
		US- 5,612,468	03/18/1997	Hawkins et al.	
		US- 5,610,956	03/11/1997	Yokoyama et al.	
		US- 5,577,694	12/26/1996	Lee	
		US- 5,560,929	10/01/1996	Hedstrand et al.	
		US- 5,525,711	06/11/1996	Hawkins et al.	
AB		US- 5,472,888	12/05/1995	Kinzer	

FOREIGN PATENT DOCUMENTS

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Examiner Signature	/Angela Bertagna/	Date Considered	05/23/2006
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**INFORMATION DISCLOSURE
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Sheet 5

of 18

Complete if Known

Application Number	
Filing Date	
First Named Inventor	
Art Unit	
Examiner Name	
Attorney Docket Number	

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AB ↓ V		US- 5,076,993	12/31/1991	Sawa et al.	
		US- 5,128,097	07/07/1992	Fukasawa et al.	
		US- 5,174,945	12/29/1992	Bussard et al.	
		US- 5,367,547	11/22/1994	Hida et al.	
		US- 5,372,719	12/13/1994	Afeyan et al.	
		US- 5,386,114	01/31/1995	Yasunaga	
AB		US- 5,453,199	09/26/1995	Afeyan et al.	
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Examiner
Signature

/Angela Bertagna/

Date
Considered

05/23/2006

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**INFORMATION DISCLOSURE
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Sheet 6 of 8

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Application Number	10/758,875
Filing Date	1/16/2004
First Named Inventor	Weiner, Michael L.
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	MLW-630

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AB	1	R.W.MALONE et al., "Cationic Liposome-mediated RNA transfection", Proc. Natl. Acad. Sci. USA, vol 86, pp 6077-6081, August 1989	
	2	R.LEVENTIS et al., "Interactions of Mammalian Cells with Lipid Dispersions containing novel metabolizable cationic amphiphiles", Biochimica et Biophysica Acta, 1023, pp 124-132, 1990	
	3	W.SCHAFFNER, "Direct Transfer of Cloned genes from bacteria to mammalian cells", Proc. Natl. Acad. Sci. USA vol 77, pp 2163-2167, April 1980	
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	5	G.STRAUSS, "Freezing and Thawing of Liposome Suspensions", Liposome Technology, p197-219, CRC Press 1984	
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	8	S.KAWAI et al., "New Procedure for DNA Transfection with Polycation and Dimethyl Sulfoxide", Molecular and Cellular Biology, vol 4, no 6, June 1984, p1172-1174	
	9	E. NEUMANN et al., "Gene transfer into mouse lyoma cells by electroporation in high electric fields", The EMBO Journal, Vol 1, No. 7, pp 841-845 (1982)	
AB	10	C.L.CEPKO et al., "Construction and Applications of a Highly Transmissible..." Cell, vol 37, p1053-1062, July 1984	

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Sheet	7	of	8	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AB	11	W.E.MAGEE et al., "The Interaction of Cationic Liposomes..." J. Cell Biology, vol 63, 1974, pp 492-504	
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	13	F.J.MARTIN et al, "Lipid Vesicle-Cell Interactions", J. Cell Biology, vol 70, (1976) pp 515-526	
	14	P.HUG et al, "Liposomes for the transformation of Eukaryotic cells", Biochimica et Biophysica Acta, 1097 (1991) 1-17	
	15	L.D.STEGER et al, "Enzyme Therapy VI..." Biochimica et Biophysica Acta 464 (1977) 530-546	
	16	H. FINK et al, "Electrical Conduction through DNA molecules", Nature, vol 398, April 1, 1999, p 407-410	
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	19	X.ZHOU et al., "Targeted delivery of DNA by liposomes and polymers", J. Controlled Release 19 (1992) p 269-274	
AB	20	C.NICOLAU et al, "Liposomes as carriers of DNA", vol 6, issue 3, 1989, p 238-271	

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NON PATENT LITERATURE DOCUMENTS			
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AB	21	P.L. FELGNER et al, "Lipofection: A highly efficient, lipid-mediated DNA-transfection procedure" Proc. Natl. Acad. Sci, USA vol 84, pp 7413-7417 November 1987	
	22	J.K.ROSE et al., "A New Cationic Liposome Reagent Mediating Nearly Quantitative Transfection of Animal Cells", Biotechniques vol 10, no 4, 1991 p 520-525	
	23	B.A.STILLMAN et al, "FAST (TM) Slides: A novel surface for Microarrays" Biotechniques, vol 29, no 3, 2000, p 630-635	
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	25	M.GRAESSMAN et al., "Microinjection and Organelle Transplantation Techniques", pp. 3-13 (Academic Press 1986)	
	26	R.L.JULIANO et al, "Pharmacokinetics of Liposome-Encapsulated Anti-tumor Drugs" Biochemical Pharmacology, vol 27, pp 21-27 1978	
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